



Section #3

IN THE UNITED STATES  
PATENT AND TRADEMARK OFFICE

Patent Application

Inventor(s): David Alan Ackerman, Sung-Nee George Chu  
Eric J Dean, John Evan Johnson  
Leonard Jan-Peter Ketelsen, Liming Zhang

Case: 27-15-1-13-11-2

Serial No.: 09/668675

Filing Date: September 22, 2000

Examiner:

Group Art Unit: 2881

Title: Aging In Tunable Semiconductor Lasers

ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D. C. 20231

SIR:

Response to Notice to File Missing Parts of Application

Enclosed is a Supplementary Declaration and Power of Attorney relating to the above-identified application.

Please charge Lucent Technologies Deposit Account No. 12-2325 the amount of \$130.00 to cover the filing fee surcharge. Duplicate copies of this letter are enclosed.

A copy of PTO-1533, Notice to File Missing Parts of Application, is also enclosed.

Respectfully,

David Alan Ackerman  
Sung-Nee George Chu  
Eric J Dean  
John Evan Johnson  
Leonard Jan-Peter Ketelsen  
Liming Zhang

By

John F McCabe  
John F McCabe, Attorney  
Reg. No. 42,854  
908-582-6866

Date: 1-9-2001

Atts.

Declaration and Power of Attorney  
PTO-1533

Enclosed with this correspondence is being  
deposited with the United States Postal Service as  
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Commissioner of Patents and Trademarks, Wash-  
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(Date of Deposit)  
A. Petti  
Date



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/668,675	09/22/2000	David Alan Ackerman	27-15-1-13-2

Docket Administrator Rm 3C 512  
Lucent Technologies Inc  
600 Mountain Avenue  
P O Box 636  
Murray Hill, NJ 07974-0636

## FORMALITIES LETTER



\*OC000000005542559\*

Date Mailed: 11/09/2000

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The signature of the following inventor(s) is missing from the oath or declaration:  
*David Alan Ackerman*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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